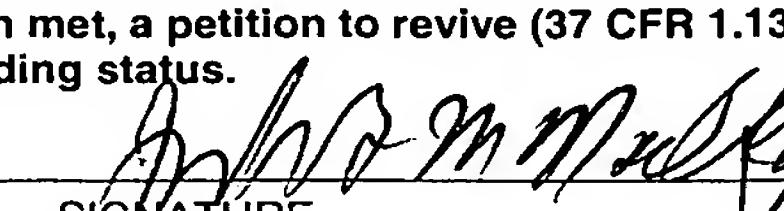


FORM PTO-1390 (Modified) U.S. PATENT AND TRADEMARK OFFICE; U.S. DEPARTMENT OF COMMERCE (REV. 2-2005)		ATTORNEY'S DOCKET NUMBER 133-05
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED, OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371		U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 10/534341
INTERNATIONAL APPLICATION NO. PCT/DE2002/004175	INTERNATIONAL FILING DATE 11/11/2002	PRIORITY DATE CLAIMED 11/11/2002
TITLE OF INVENTION SUPPORT ELEMENT FOR MOUNTING OPTICAL ELEMENTS AND METHOD OF PRODUCING SUCH A SUPPORT ELEMENT		
APPLICANT(S) FOR DO/EO/US Martin Popp, Ingo Smaglinski, Jens Haase and Gerhard Himmelsbach		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<ol style="list-style-type: none"> 1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. 3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below. 4. <input checked="" type="checkbox"/> The US has been elected (Article 31). 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371 (c)(2)) <ol style="list-style-type: none"> a. <input checked="" type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input checked="" type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). <ol style="list-style-type: none"> a. <input checked="" type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). 7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)) <ol style="list-style-type: none"> a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input checked="" type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)). 10. <input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)). 11. <input checked="" type="checkbox"/> A copy of the International Preliminary Examination Report (PCT/IPEA/409). 12. <input checked="" type="checkbox"/> A copy of the International Search Report (PCT/ISA/210). 		
Items 13 to 23 below concern document(s) or information included:		
<ol style="list-style-type: none"> 13. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 14. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 15. <input checked="" type="checkbox"/> A FIRST preliminary amendment. 16. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment. 17. <input type="checkbox"/> A substitute specification. 18. <input checked="" type="checkbox"/> A power of attorney and/or change of address letter. 19. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825. 20. <input type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4). 21. <input type="checkbox"/> A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4). 22. <input checked="" type="checkbox"/> Express Mail Label No. EV 281505695 US 23. <input type="checkbox"/> Other items or information: 		

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. APPLICATION NO (if known, see 37 CFR 1.5) 10/534341		INTERNATIONAL APPLICATION NO. PCT/DE2002/004175		ATTORNEY'S DOCKET NUMBER 133-05	
The following fees are submitted:					
24. <input checked="" type="checkbox"/> Basic national fee		\$300		CALCULATIONS PTO USE	
25. <input checked="" type="checkbox"/> Examination fee If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4).		\$100		\$ \$300.00	
All other situations.		\$200			
26. <input checked="" type="checkbox"/> Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority		\$100			
International Search Report prepared and provided to the Office		\$400			
All other situations.		\$500		\$ \$400.00	
TOTAL OF 24, 25 and 26 =				\$ \$1,000.00	
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole)		RATE	
54 - 100 =	0 /50 =	0		x \$250.00	
\$ \$0.00					
Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492(e)).					
\$					
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	60 - 20 =	40	x \$50.00		
Independent claims	2 - 3 =	0	x \$200.00		
\$ \$2,000.00					
\$ \$0.00					
MULTIPLE DEPENDENT CLAIMS (if applicable) <input checked="" type="checkbox"/> + \$360.00					
\$ \$360.00					
TOTAL OF ABOVE CALCULATIONS =					
\$ \$3,360.00					
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.					
SUBTOTAL =					
\$ \$1,680.00					
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).					
\$ \$0.00					
TOTAL NATIONAL FEE =					
\$ \$1,680.00					
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property +					
\$ \$40.00					
TOTAL FEES ENCLOSED =					
\$ \$1,720.00					
Amount to be \$					
Amount to be \$					
<p>a. <input checked="" type="checkbox"/> A check in the amount of \$ <u>\$1,720.00</u> to cover the above fees is enclosed.</p> <p>b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of _____ to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Director is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>16-0750</u>. A duplicate copy of this sheet is enclosed.</p> <p>d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</p>					
<p>NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.</p> <p>SEND ALL CORRESPONDENCE TO:</p> <p>John F. McNulty, Esquire</p> <p>Paul & Paul</p> <p>2900 Two Thousand Market Street</p> <p>Philadelphia, PA 19103</p> <p>USA</p> <p>(215) 568-4900</p>					
					
SIGNATURE					
John F. McNulty, Esquire					
NAME					
23,028					
REGISTRATION NUMBER					

PATENT

PCT INTERNATIONAL APPLICATION

Serial No.: NA

Filed: Herewith

Based on: PCT/DE2002/004175

Title: **SUPPORT ELEMENT FOR
MOUNTING OPTICAL
ELEMENTS AND METHOD OF
PRODUCING SUCH A SUPPORT
ELEMENT**

Inventor(s): Martin Popp, et al.

Priority Date

Claimed: November 11, 2002

Docket No.: 133-05

"EXPRESS MAIL" MAILING LABEL NO:
EV 281505695 US
DATE OF DEPOSIT May 9, 2005
I HEREBY CERTIFY THAT THIS PAPER IS BEING
DEPOSITED WITH THE UNITED STATES POST
OFFICE "EXPRESS MAIL POST OFFICE TO
ADDRESSEE" SERVICE UNDER 37 C.F.R. § 1.10 ON
THE DATE INDICATED ABOVE AND IS ADDRESSED
TO THE COMMISSIONER FOR PATENTS P.O. BOX
1450, ALEXANDRIA, VA 22313-1450
NAME OF PERSON MAILING PAPER OR FEE
Robert DeShields
Robert DeShields
SIGNATURE:

EXPRESS MAIL COVER LETTER

Mail Stop PCT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Enclosed and attached hereto are the following documents:

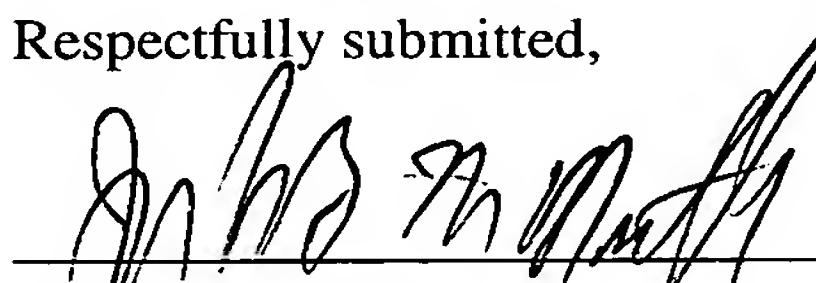
- (1) International Application Transmittal form;
- (2) Check in the amount of \$1,720.00 application filing fee (small entity);
- (3) Specification (17 pages);
- (4) Claims (4 pages);
- (5) Formal Drawings (33 pages);
- (6) Preliminary Amendment (6 pages);

10/534341
JC06 Rec'd PCT/PTO 09 MAY 2009

- (7) Declaration Power of Attorney Document 3 pages (**executed**);
- (8) Assignment with PTO form 1595;
- (9) Check in the amount \$40.00 recordation fee;
- (10) PCT Request (6) Pages;
- (11) International Publication Document No. WO 2004/044633 A1 (German Language);
- (12) International Search Report;
- (13) International Preliminary Examination Report;
- (14) Express Mail cover letter, and
- (15) Paul & Paul postcard to be returned by the PTO.

THE COMMISSIONER IS HEREBY AUTHORIZED TO CHARGE ANY ADDITIONAL FEES ASSOCIATED WITH THIS COMMUNICATION, OR CREDIT ANY OVERPAYMENT, TO PAUL & PAUL DEPOSIT ACCOUNT NO. 16-0750, ORDER NO. 3143.

Respectfully submitted,


John F. McNulty
Reg. No. 23,028
Paul & Paul
2900 Two Thousand Market St.
Philadelphia, PA 19103
(215) 568-4900